

A Geometric Proof of the Colored Tverberg Theorem

JIŘÍ MATOUŠEK^{a,b,c}

MARTIN TANCER^{a,b,d}

ULI WAGNER^{c,e}

June 2, 2011

Abstract

The colored Tverberg theorem asserts that for every d and r there exists $t = t(d, r)$ such that for every set $C \subset \mathbb{R}^d$ of cardinality $(d+1)t$, partitioned into t -point subsets C_1, C_2, \dots, C_{d+1} (which we think of as color classes; e.g., the points of C_1 are red, the points of C_2 blue, etc.), there exist r disjoint sets $R_1, R_2, \dots, R_r \subseteq C$ that are *rainbow*, meaning that $|R_i \cap C_j| \leq 1$ for every i, j , and whose convex hulls all have a common point.

All known proofs of this theorem are topological. We present a geometric version of a recent beautiful proof by Blagojević, Matschke, and Ziegler, avoiding a direct use of topological methods. The purpose of this de-topologization is to make the proof more concrete and intuitive, and accessible to a wider audience.

AMS Subject Classification: 52A35

1 Introduction

We first recall three fundamental results of discrete geometry, all of them dealing with partitioning finite sets in \mathbb{R}^d so that the convex hulls of the parts intersect. In the order of increasing sophistication, they are *Radon's lemma*, *Tverberg's theorem*, and the *colored Tverberg theorem*. We refer to [Mat02] for more background, applications, and historical references not mentioned here.

Radon's theorem asserts that every set $C \subset \mathbb{R}^d$ of $d+2$ points has two disjoint subsets A_1, A_2 with $\text{conv}(A_1) \cap \text{conv}(A_2) \neq \emptyset$; see the illustration of the planar case in Fig. 1. The proof is simple linear algebra.

Tverberg's theorem states that every set $C \subset \mathbb{R}^d$ of $(d+1)(r-1)+1$ points has r pairwise disjoint subsets A_1, \dots, A_r with $\bigcap_{i=1}^r \text{conv}(A_i) \neq \emptyset$ (so Radon's lemma is the $r=2$ case). Several geometric proofs are known, e.g., [Tve66, Tve81, Sar92]. The number $(d+1)(r-1)+1$ is easily shown to be the smallest possible for such a claim to hold, e.g., by considering the configuration C of $(d+1)(r-1)$ points forming $d+1$ small clusters by $r-1$ points each, as in Fig. 2.

^aDepartment of Applied Mathematics, Charles University, Malostranské nám. 25, 118 00 Praha 1, Czech Republic

^bInstitute of Theoretical Computer Science (ITI), Charles University, Malostranské nám. 25, 118 00 Praha 1, Czech Republic

^cInstitute of Theoretical Computer Science, ETH Zurich, 8092 Zurich, Switzerland

^dSupported by the grants SVV-2010-261313 (Discrete Methods and Algorithms) and GAUK 49209.

^eResearch supported by the Swiss National Science Foundation (SNF Projects 200021-125309 and 200020-125027).

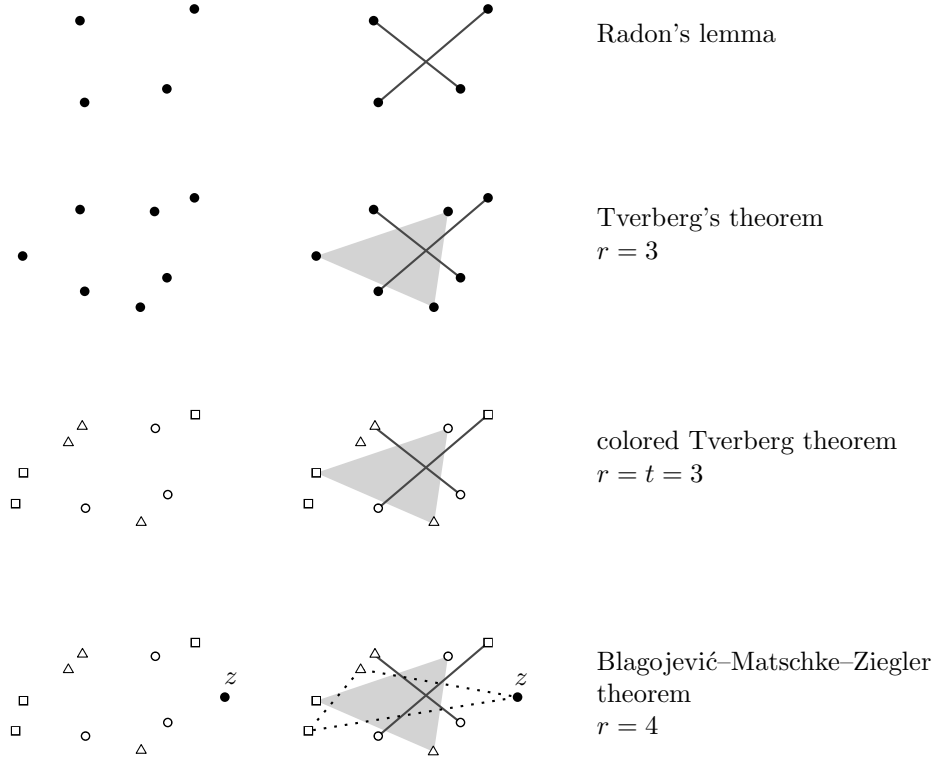


Figure 1: Radon's lemma, Tverberg's theorem, the colored Tverberg theorem, and the Blagojević–Matschke–Ziegler theorem: planar illustrations.

It is easy to show (e.g., by iterating Radon's lemma) that there exists *some* number $T = T(d, r)$ such that the conclusion of the theorem holds for every set C with at least T points. The hard part of Tverberg's theorem is obtaining the optimal value of $T(d, r)$.

The colored Tverberg theorem has a setting similar to that of Tverberg's theorem. Again we have a set $C \subset \mathbb{R}^d$ and seek r pairwise disjoint subsets whose convex hulls all share a point, but this time these subsets have to satisfy an additional restriction.

We introduce the following terminology. Let $C \subset \mathbb{R}^d$ be a finite set partitioned into k *color classes* C_1, C_2, \dots, C_k (in other words, each point of C is colored by one of k colors). A subset $R \subseteq C$ is *rainbow* if it contains at most one point of each color, i.e., $|R \cap C_j| \leq 1$ for all j .

A *rainbow r -partition* for C is an ordered r -tuple $\mathcal{R} = (R_1, \dots, R_r)$ of pairwise disjoint rainbow subsets of C . We stress that, for technical convenience, and with a mild abuse of the terminology “partition”, we generally do *not* require that the R_i cover all of C (if they do, we speak of a *maximal rainbow r -partition*).

A rainbow r -partition is *Tverberg* if it has a *Tverberg point*, i.e., a point $x \in \bigcap_{i=1}^r \text{conv}(R_i)$ (which usually does not belong to C). The colored Tverberg theorem can then be stated as follows.

Theorem 1 (Colored Tverberg theorem). *For every $d \geq 1$ and $r \geq 2$ there exists t such that whenever $C \subset \mathbb{R}^d$ is a set of $(d+1)t$ points partitioned into t -point subsets C_1, \dots, C_{d+1} , then there is a Tverberg rainbow r -partition $\mathcal{R} = (R_1, \dots, R_r)$ for C .*

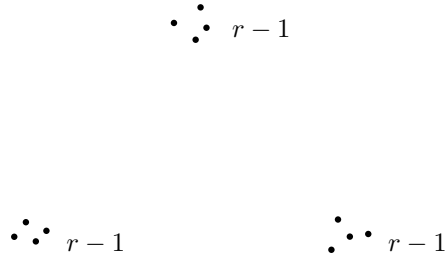


Figure 2: A configuration with no Tverberg r -partition.

The theorem is usually stated with \mathcal{R} *maximal*, in which case each R_i has to be a $(d+1)$ -element set containing one point of each color. However, we chose to omit maximality, since on the one hand, the proof typically does not yield a maximal \mathcal{R} , and on the other hand, some thought reveals that, in the situation of Theorem 1, an arbitrary \mathcal{R} can easily be extended into a maximal one.

For the colored Tverberg theorem, proving the existence of any t , no matter how large, seems difficult, and the simplest proof currently known is also the one that yields the smallest t , as we will briefly discuss below.

Let $t(d, r)$ denote the smallest t for which the conclusion of the theorem holds. The configuration with $d+1$ clusters by $r-1$ points each, as in Fig. 2, where the i th cluster is all colored with color i , shows that $t(d, r) \geq r$.

Historical notes. The validity of the colored Tverberg theorem was first conjectured by Bárány, Füredi and Lovász [BFL90], who proved the case $d=2$, $r=3$, obtaining $t(2, 3) \leq 7$. Bárány and Larman [BL92] settled the planar case, showing $t(2, r) = r$ for all r (their paper also contains Lovász' topological proof showing that $t(d, 2) = 2$ for all d). They conjectured that $t(d, r) = r$ for all r, d .

The first proof of the general case of the colored Tverberg theorem was obtained by Živaljević and Vrećica [ŽV92] (simpler versions were provided in [BLVŽ94, Mat96]). Their proof is topological, and it builds on the pioneering works by Bajmóczy and Bárány [BB79] (who gave a new, topological proof of Radon's lemma) and by Bárány, Shlosman, and Szűcs [BSS81] (who provided a topological proof of Tverberg's theorem assuming that r is a prime number).

The Živaljević–Vrećica method yields $t(d, r) \leq 2r-1$ for all *prime* r . Later, the same bound was extended to all r that are *prime powers* [Živ98], using more advanced topological tools introduced to combinatorial geometry by Özaydin, by Volovikov, and by Sarkaria.

The most important progress by far since the 1992 Živaljević–Vrećica proof was achieved by Blagojević, Matschke, and Ziegler [BMZ09] in 2009. They discovered a new proof, also topological, which yields the optimal bound $t(d, r) = r$ *whenever* $r+1$ *is a prime number*.

Their main trick is both simple and surprising; at first sight, it seems strange that it might help in such a radical way. Namely, to the point set $C = C_1 \cup \dots \cup C_{d+1}$ as in the colored Tverberg theorem, with $|C_1| = \dots = |C_{d+1}| = t$, they first add an (arbitrary) extra point z , and color it with a new color $d+2$, thus forming a singleton color class $C_{d+2} = \{z\}$. Then they prove the existence of a Tverberg rainbow $(r+1)$ -partition (R_1, \dots, R_{r+1}) for the set $C' = C_1 \cup C_2 \cup \dots \cup C_{d+1} \cup C_{d+2}$. Given such an $(r+1)$ -partition, one can simply delete the set R_i containing the artificial point z , and be left with a Tverberg r -partition for the

original C ; see the bottom part of Fig. 1.

We now formulate the main claim of the Blagojević et al. proof. With r and d fixed, let us call a $(d + 2)$ -tuple $\mathcal{C} = (C_1, \dots, C_{d+2})$ of pairwise disjoint sets in \mathbb{R}^d a *BMZ-collection* (BMZ standing for Blagojević–Matschke–Ziegler) if $|C_1| = |C_2| = \dots = |C_{d+1}| = r - 1$ and $|C_{d+2}| = 1$.

Theorem 2 (Blagojević–Matschke–Ziegler theorem). *For every $d \geq 1$ and every prime number r , every BMZ-collection \mathcal{C} admits a Tverberg rainbow r -partition (R_1, \dots, R_r) .*

We note that for proving the colored Tverberg theorem with $r = r_0$, one uses the Blagojević–Matschke–Ziegler theorem with $r = r_0 + 1$.

Theorem 2 was first proved, in a preliminary version of [BMZ09], using relatively heavy topological machinery, by computing a certain obstruction in cohomology (this method also yields additional results; see [BMZ11]). Then Vrećica and Živaljević [VŽ09] found a simpler, degree-theoretic proof, and independently, the authors of [BMZ09] obtained a similar simplification.

We should remark that the Blagojević–Matschke–Ziegler theorem has a more general version, which is perhaps even nicer and more natural. Namely, for r prime, whenever $N + 1$ points in \mathbb{R}^d , $N = (d + 1)(r - 1)$, are partitioned into classes C_1, \dots, C_m , $m \geq d + 1$, with each C_i of size at most $r - 1$, then there is a Tverberg rainbow r -partition. This, for instance, also contains the original Tverberg theorem as a special case. For simplicity, though, we will consider only Theorem 2 in the rest of this paper.

This paper. Our main purpose is to present an elementary and self-contained geometric proof of Theorem 2 (and thus of the colored Tverberg theorem as well). We follow the basic strategy of the degree-theoretic proof in [VŽ09, BMZ09]. However, we replace the abstract *deleted product construction* by a concrete geometric construction due to Sarkaria [Sar92] (with a simplification by Onn).

In this way, the basic scheme of the proof is clear and intuitive. A rigorous elementary presentation avoiding topological tools is not entirely simple, however, mainly because we have to deal with various issues of general position. These issues do not arise in the topological proof, since they are dealt with on a general level when building the topological apparatus.

One can say that our proof is a “de-topologized” version of the proofs in [VŽ09, BMZ09]. In a similar sense, Sarkaria’s proof [Sar92] of Tverberg’s theorem can be regarded as a de-topologized version of his earlier topological proof of Tverberg’s theorem [Sar91]. It has become one of the most cited proofs, and often it is regarded as the standard proof, see, e.g., [Kal95, Section 1.2], [Grü03, p. 30b].¹

Another example of de-topologization is a combinatorial proof of Kneser’s conjecture [Mat04]; developing this approach further, Ziegler [Zie02] was able to prove all known generalizations of Kneser’s conjecture, plus some new ones, in a combinatorial way.

We hope that an elementary, de-topologized proof of the colored Tverberg theorem will stimulate further research by making the proof more intuitive and concrete and accessible to a wider audience. For example, this might help in attacking the open cases of the Bárány–Larman conjecture (the validity of the claim of Theorem 2 for non-prime r).

¹We remark that another possible strategy for de-topologizing Tverberg-type statements was suggested in [Živ95]. The so-called *guiding principle* on p. 94 of that paper suggests a certain way of relaxing the symmetry (equivariance) condition to obtain a more general geometric statement that might be more amenable to a purely geometric proof in the spirit of Sarkaria’s proof of the geometric Tverberg theorem.

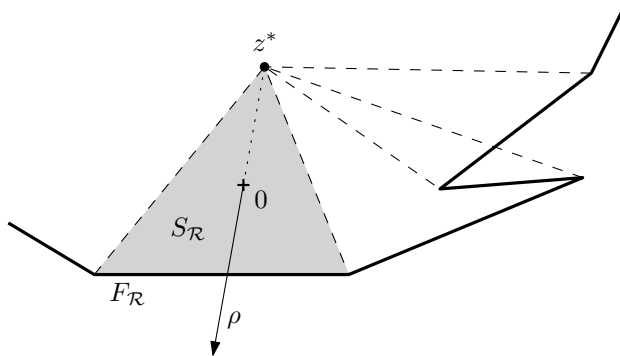


Figure 3: A schematic illustration of the situation in \mathbb{R}^N .

While all known topological proofs of Tverberg’s theorem work only for r that is a prime power, Sarkaria’s de-topologized proof [Sar92] overcomes this restriction and works for all r . Unfortunately, our de-topologization does not help in removing the restriction of prime r in Theorem 2. If anything, it helps in seeing more clearly why the proof method of Blagojević et al. fails whenever r is not a prime; see Section 8 for a discussion.

2 Outline of the proof

Here we sketch the main steps of the proof, proceeding informally and glossing over many details.

We begin with a fixed BMZ-collection $\mathcal{C} = (C_1, \dots, C_{d+1}, C_{d+2} = \{z\})$. We assume that the points of \mathcal{C} are in a sufficiently general position; if they are not, we use a standard perturbation argument.

We consider the system $\mathbf{R} = \mathbf{R}(\mathcal{C})$ of all the *maximal* rainbow r -partitions $\mathcal{R} = (R_1, \dots, R_r)$ for \mathcal{C} , Tverberg or not, for which $z \in R_r$.

Using a construction as in Sarkaria [Sar92], with each $\mathcal{R} \in \mathbf{R}$ we associate an N -dimensional simplex $S_{\mathcal{R}}$ in \mathbb{R}^N . (More precisely, some of the $S_{\mathcal{R}}$ may be degenerate, i.e., only $(N - 1)$ -dimensional, even for \mathcal{C} in general position, but this will not matter—so for the purposes of this outline, we pretend that they are all N -dimensional.) The key property of this construction is that \mathcal{R} is Tverberg iff $S_{\mathcal{R}}$ contains the origin 0.

Moreover, all of the $S_{\mathcal{R}}$ have one vertex z^* in common. Let $F_{\mathcal{R}}$ be the facet of $S_{\mathcal{R}}$ opposite to z^* ; this is an $(N - 1)$ -dimensional simplex avoiding 0. Then we get that \mathcal{R} is Tverberg iff the ray ρ emanating from 0 in the direction opposite to $0z^*$ meets $F_{\mathcal{R}}$; see Fig. 3 for a schematic planar illustration.

Next, it turns out that the union Σ of all the $F_{\mathcal{R}}$ forms something like a (possibly self-intersecting) hypersurface in \mathbb{R}^N , and one can define the *degree* of Σ , a standard notion in topology. (Since Σ is determined by \mathcal{C} , we also speak of the degree of \mathcal{C} and write $\deg(\mathcal{C})$.)

Intuitively, the degree counts how many times Σ “winds” around 0. Its absolute value is a lower bound for the number of times a ray like ρ intersects Σ . Thus, if we can show that the degree of Σ is always nonzero, then ρ has to intersect at least one $F_{\mathcal{R}}$, and the existence of a Tverberg rainbow r -partition follows.

First we need to equip Σ with an *orientation*, which means designating one of the “sides”

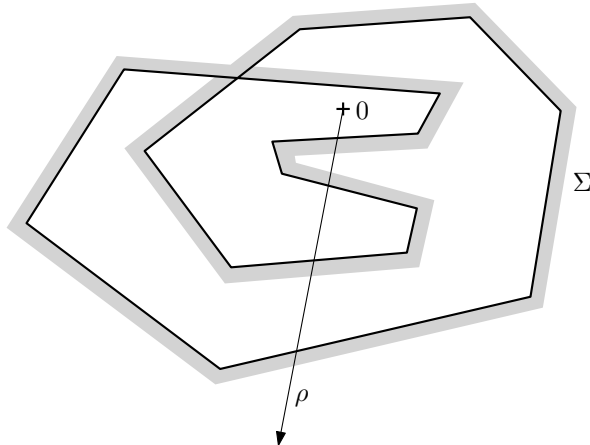


Figure 4: Defining the degree of Σ ; the positive side of Σ is marked gray.

of Σ as positive and the other as negative; see Fig. 4. The orientation is defined locally: we determine positive and negative side for every $F_{\mathcal{R}}$, in a globally consistent way. The definitions must match at the “seams” where two of the $F_{\mathcal{R}}$ ’s meet in an $(N-2)$ -dimensional face.²

Then we define the degree of Σ as the number of times the ray ρ passes from the negative side of Σ to the positive side minus the number of times it passes from the positive side to the negative one (in the picture, the degree is $+2$). As expected, the degree does not depend on the choice of the ray ρ —any other ray emanating from 0 yields the same number.

It remains to verify that $\deg(\mathcal{C}) \neq 0$, and this is done by a “continuous motion” argument. Namely, we fix a special BMZ-collection \mathcal{C}_0 for which the degree can be explicitly computed. Then we consider a continuous motion of the points of \mathcal{C}_0 that transforms it to the given BMZ-collection \mathcal{C} . We follow the corresponding motion of Σ in \mathbb{R}^N and look what happens to its degree. It can change only when some of the $F_{\mathcal{R}}$ pass through 0.

We divide our collection \mathbf{R} of rainbow r -partitions into classes of an equivalence \sim , where $\mathcal{R} \sim \mathcal{R}'$ if \mathcal{R}' can be obtained from \mathcal{R} by permuting the R_i and, if needed, moving z back to the r th class. For example,

$$\mathcal{R} = (R_1, R_2, R_3) \sim \mathcal{R}' = (R_2, R_3 \setminus \{z\}, R_1 \cup \{z\}).$$

Each class has $r!$ members, and it turns out that, during the continuous motion, the simplices $F_{\mathcal{R}}$ for all \mathcal{R} in the same class always pass through 0 simultaneously, and their contributions to the degree change by the same amount.

It follows that $\deg(\mathcal{C})$ may change only by multiples of $r!$ during the motion. Since the degree for the special BMZ-collection \mathcal{C}_0 comes out as $D_0 = \pm((r-1)!)^d$, the degree for every \mathcal{C} is congruent to D_0 modulo $r!$.

Here, finally, the primality of r comes into play. When r is a prime, and only then, we have $D_0 \not\equiv 0 \pmod{r!}$, and hence the degree is always nonzero as needed.

²From the topological point of view, in this part we verify the well-known fact (cf. [BLVŽ94]) that the abstract simplicial complex underlying Σ is an *orientable pseudomanifold*; this is a crucial part of the proof, as well as of the proofs in [BMZ09, VZ09].

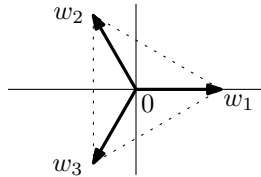


Figure 5: The vectors w_1, \dots, w_r for $r = 3$.

On the other hand, there are non-prime r for which BMZ-collections \mathcal{C} exist with degree 0, so indeed the proof method breaks down (we suspect that this is the case for *all* non-prime r , but we have no proof at present). Of course, if the claim of the Blagojević–Matschke–Ziegler theorem failed for some (non-prime) r , one would have to look for a counterexample among the \mathcal{C} with degree 0.

3 The Sarkaria–Onn transform

We start filling out the details in the above outline. First we introduce the construction that assigns a point set in \mathbb{R}^N to every rainbow r -partitions of a given BMZ-collection. We present it in a slightly more general setting, ignoring the “rainbow” aspect.

We will use the notation $[k] = \{1, 2, \dots, k\}$ for a positive integer k .

For a point $x \in \mathbb{R}^d$ we write x^+ for the vector $(x, 1) \in \mathbb{R}^{d+1}$ obtained by appending the component 1 to x .

Let w_1, \dots, w_r be vectors in \mathbb{R}^{r-1} forming the vertex set of a regular $(r-1)$ -dimensional simplex with center at the origin; Fig. 5 illustrates the case $r = 3$. We have $w_1 + w_2 + \dots + w_r = 0$.³ Moreover, if $\alpha_1, \dots, \alpha_r$ are real numbers with $\alpha_1 w_1 + \dots + \alpha_r w_r = 0$, we have $\alpha_1 = \alpha_2 = \dots = \alpha_r$, since every $r-1$ of the w_i are linearly independent.

For $x \in \mathbb{R}^d$ and an index $i \in [r]$, we define a point

$$\varphi_i(x) := x^+ \otimes w_i \in \mathbb{R}^N,$$

called the i th clone of x . Here $N = (d+1)(r-1)$, and \otimes stands for the (standard) tensor product: for arbitrary vectors $u \in \mathbb{R}^m$ and $v \in \mathbb{R}^n$, $u \otimes v$ is the vector

$$(u_1 v_1, u_1 v_2, \dots, u_1 v_n, u_2 v_1, u_2 v_2, \dots, u_m v_n) \in \mathbb{R}^{mn}.$$

Now let $\mathcal{P} = (P_1, P_2, \dots, P_r)$ be an r -partition in \mathbb{R}^d , i.e., an r -tuple of pairwise disjoint finite sets in \mathbb{R}^d (but the disjointness will be used only for a convenient notation; the claims below remain valid even if the P_i may share points). Let $P = P_1 \cup \dots \cup P_r$ be the ground set.

We define the *Sarkaria–Onn transform* of \mathcal{P} as the point set

$$\Phi(\mathcal{P}) := \bigcup_{i=1}^r \{\varphi_i(p) : p \in P_i\},$$

³The easiest way to see this is to represent the regular $(r-1)$ -simplex as the convex hull of the r standard basis vectors in \mathbb{R}^r . Then we can identify \mathbb{R}^{r-1} with the hyperplane $\{x \in \mathbb{R}^r : \sum_{i=1}^r x_i = 1\}$, and choose a coordinate system such that the origin lies at the barycenter of the simplex, i.e., vector with all coordinates equal to $1/r$.

and we let

$$S_{\mathcal{P}} := \text{conv}(\Phi(\mathcal{P})).$$

In words, for every point $p \in P_i$ we put the i th clone of p in $\Phi(\mathcal{P})$.

The following lemma is essentially from [Sar92].

Lemma 3 (Sarkaria–Onn). *Let \mathcal{P} be an r -partition in \mathbb{R}^d . Then \mathcal{P} has a Tverberg point, i.e., satisfies $\bigcap_{i=1}^r \text{conv}(P_i) \neq \emptyset$, if and only if $0 \in S_{\mathcal{P}}$.*

Proof. For the reader's convenience, we sketch a proof; the omitted details are easy to fill in.

First, let us suppose that $x \in \bigcap_{i=1}^r \text{conv}(P_i)$ is a Tverberg point. Thus, for every i we can write $x = \sum_{p \in P_i} \xi_p p$ for some nonnegative reals ξ_p with $\sum_{p \in P_i} \xi_p = 1$. Then it is easy to check that

$$0 = \frac{1}{r} \sum_{i=1}^r \sum_{p \in P_i} \xi_p \varphi_i(p)$$

holds, and that this expresses 0 as a convex combination of the points of $\Phi(\mathcal{P})$.

Conversely, let us suppose that $0 \in S_{\mathcal{P}}$. Thus, we can write

$$0 = \sum_{i=1}^r \sum_{p \in P_i} \alpha_p (p^+ \otimes w_i) = \sum_{i=1}^r \left(\sum_{p \in P_i} \alpha_p p^+ \right) \otimes w_i \quad (1)$$

for some nonnegative α_p 's summing to 1. Let $A_i := \sum_{p \in P_i} \alpha_p$ and $s_i := \sum_{p \in P_i} \alpha_p p$. By (1) we have $\sum_{i=1}^r A_i w_i = 0$, and so, by the properties of the w_i , all the A_i are equal to some A . Similarly, all the s_i equal some $s \in \mathbb{R}^d$. Finally, one easily checks that $A > 0$ (since not all of the α_p are 0) and that the point $\frac{1}{A}s$ is a Tverberg point. \square

In our considerations, we will need to interpret some other properties of $\Phi(\mathcal{P})$ in terms of \mathcal{P} . We recall that the *affine hull* $\text{aff}(X)$ of a (finite) set $X \subseteq \mathbb{R}^d$ is the smallest affine subspace of \mathbb{R}^d containing X . We also define the *linear affine hull* $\text{linaff}(X)$ as the translation of $\text{aff}(X)$ to 0, or in other words, as the set of all linear combinations $\sum_{i=1}^n \beta_i x_i$ with $x_1, \dots, x_n \in X$ and $\sum_{i=1}^n \beta_i = 0$.

Let us say that the partition \mathcal{P} has an *affine Tverberg point* if $\bigcap_{i=1}^r \text{aff}(P_i) \neq \emptyset$. Let us say that \mathcal{P} has a *Tverberg direction* if $\bigcap_{i=1}^r \text{linaff}(P_i) \neq \{0\}$; in other words, if there is a line parallel to each of the $\text{aff}(P_i)$.

Lemma 4. *For an r -partition \mathcal{P} in \mathbb{R}^d , we have the following equivalences:*

- (i) $0 \in \text{aff}(\Phi(\mathcal{P}))$ iff \mathcal{P} has an affine Tverberg point.
- (ii) The set $\Phi(\mathcal{P})$ is affinely dependent iff at least one of the P_i 's is affinely dependent or \mathcal{P} has a Tverberg direction.

Proof. The proof is very similar to that of Lemma 3 and we only sketch it, leaving the details to the interested reader.

In (i), the assumption $0 \in \text{aff}(\Phi(\mathcal{P}))$ can be written as $\sum_{p \in P} \alpha_p p = 0$ for some α_p 's with $\sum_{p \in P} \alpha_p = 1$. As in the proof of Lemma 3, $\sum_{p \in P} \alpha_p p = 0$ implies that the sums $\sum_{p \in P_i} \alpha_p$, $i \in [r]$, are all equal to the same number A and the sums $\sum_{p \in P_i} \alpha_p p$ are all equal to the same s . From $\sum_{p \in P} \alpha_p \neq 0$ we get $A \neq 0$, and thus $\frac{1}{A}s \in \text{aff}(P_i)$ for all i . The reverse implication in (i) is proved by going through a very similar argument backwards.

As for (ii), we assume that the points of $\Phi(\mathcal{P})$ are affinely dependent, i.e., there exist reals α_p , $p \in P$, summing to 0 and not all zero such that $\sum_{p \in P} \alpha_p p = 0$. We again have $\sum_{p \in P_i} \alpha_p = A$ and $\sum_{p \in P_i} \alpha_p p = s$ for all $i \in [r]$. Since $\sum_{p \in P} \alpha_p = 0$, we get $A = 0$. If $s = 0$, then at least one of the P_i is affinely dependent, and otherwise, s is a nonzero vector in $\bigcap_{i=1}^r \text{linaff}(P_i)$. Again we omit the reverse implication. \square

4 Sufficiently general position

Some conventions for BMZ-collections. Now we specialize to BMZ-collections. Let $\mathcal{C} = (C_1, \dots, C_{d+2})$ be a BMZ-collection, and let us write $C := C_1 \cup C_2 \cup \dots \cup C_{d+2}$ for its ground set.

We also assume that the points of C are numbered as $c_1, c_2, \dots, c_{N+1} = z$, in such a way that C_1 consists of the first $r-1$ points c_1, \dots, c_{r-1} , C_2 consists of the next $r-1$ points, etc.

Let \mathcal{R} be a rainbow r -partition for \mathcal{C} . We define the *combinatorial type* of \mathcal{R} as the set $\{(i, j) : c_j \in R_i\} \subseteq [r] \times [N+1]$.

As in the proof outline, let \mathbf{R} be the collection of all the maximal rainbow r -partitions having the point z in the last class.

For $\mathcal{R} = (R_1, \dots, R_r) \in \mathbf{R}$ and a point $a \in C$, we write $\mathcal{R} - a$ for the rainbow r -partition $(R_1 \setminus \{a\}, \dots, R_r \setminus \{a\})$ (we remove a from the class it belongs to).

For every $\mathcal{R} \in \mathbf{R}$, we have $z \in R_r$, and so each $\Phi(\mathcal{R})$ contains the point $z^* = \varphi_r(z)$. We set $F_{\mathcal{R}} := \text{conv}(\Phi(\mathcal{R} - z))$; if $S_{\mathcal{R}}$ is an N -dimensional simplex, which is usually the case, then $F_{\mathcal{R}}$ is the facet opposite to z^* as in the outline.

Sufficiently general position. For defining the degree as sketched in the outline, we need that the simplices $F_{\mathcal{R}}$ are in a suitably general position. We adopt a “functional” approach, postulating the required properties in a definition.

We say that \mathcal{C} is in a *sufficiently general position* if

- each $F_{\mathcal{R}}$ is an $(N-1)$ -dimensional simplex, i.e., its vertices are affinely independent, and
- for every $\mathcal{R} \in \mathbf{R}$ and every $a \in C$ we have $0 \notin \text{aff}(\Phi(\mathcal{R} - a))$; geometrically, the affine span of each facet of $S_{\mathcal{R}}$ avoids 0.

It is easily seen that for \mathcal{C} in sufficiently general position, the ray ρ as in the outline (emanating from 0 in the direction opposite to $0z^*$) is well defined and intersects each $F_{\mathcal{R}}$ in at most one point, which lies in the relative interior of $F_{\mathcal{R}}$.

Let $\mathcal{C}, \mathcal{C}'$ be two BMZ-collections. We define their *distance* in the natural way, as $\max\{\|c_i - c'_i\| : i = 1, 2, \dots, N+1\}$ where $\|\cdot\|$ is the Euclidean norm and c'_i is, of course, the i th point of \mathcal{C}' .

We want to show that for every BMZ-collection \mathcal{C} , there are BMZ-collections \mathcal{C}' in sufficiently general position arbitrarily close to it. We proceed by a standard perturbation argument (an alternative route would be using points with algebraically independent coordinates in \mathcal{C}'). This is a technical and somewhat tedious part (in the topological proof, it is taken care by the general machinery, so one need not worry about it). Still, we prefer to include it, in order to make the proof complete.

Lemma 5. *Let \mathcal{C} be a BMZ-collection, and let $\varepsilon > 0$ be given. Then there is a BMZ-collection \mathcal{C}' in sufficiently general position at distance at most ε from \mathcal{C} .*

Proof. First we observe that for $\mathcal{R} \in \mathbf{R}$, since the classes R_1, \dots, R_r are rainbow, each of the classes R_i has at most $d + 1$ points, except possibly for R_r , which may contain up to $d + 2$ points.

According to Lemma 4, the conditions in the definition of sufficiently general position of \mathcal{C} are implied by the following:

- (i) Every at most $d + 1$ points of C are affinely independent.
- (ii) For every $\mathcal{R} \in \mathbf{R}$, the partition $\mathcal{R} - z$ has no Tverberg direction.
- (iii) For every $\mathcal{R} \in \mathbf{R}$ and every $a \in C$, the partition $\mathcal{R} - a$ has no affine Tverberg point.

To seasoned geometers, (i)–(iii) are probably obvious by codimension count. Still, we include a more detailed argument.

We first recall a perturbation argument for achieving (i), where it is entirely simple and standard. Condition (i) is a conjunction of $\binom{|C|}{d+1}$ requirements of the form “the points in $C_I := \{c_i : i \in I\}$ are affinely independent”, where I runs through all $(d + 1)$ -element subsets of C . We enumerate all such I as I_1, I_2, \dots and we deal with them one by one.

First we consider I_1 ; say that $I_1 = \{1, 2, \dots, d + 1\}$. The one-point set $\{c_1\}$ is affinely independent, of course, and so is $\{c_1, c_2\}$, assuming that the points of C are all distinct. Next, it is clear that we can move c_3 by at most $\frac{\varepsilon}{2}$ so that $C_3 := \{c_1, c_2, c_3\}$ is affinely independent, too. Then we successively move c_4, \dots, c_{d+1} , each by at most $\frac{\varepsilon}{2}$, and we make C_{I_1} affinely independent. Moreover, crucially, there exists some $\varepsilon_1 > 0$ such that if we move the points of C_{I_1} arbitrarily by at most ε_1 , then C_{I_1} *remains* affinely independent. Using this ε_1 , we make C_{I_2} affinely independent, obtaining some even much smaller $\varepsilon_2 > 0$, etc., until all the index sets I_j have been exhausted.

A similar procedure can be applied to achieve (ii) and (iii). For example, in (iii), we fix \mathcal{R} and a and see how can we make sure that $\mathcal{R} - a$ has no affine Tverberg point.

Let us write $R_i^- := R_i \setminus \{a\}$. Each of the subspaces $L_i := \text{aff}(R_i)$ has dimension at most $|R_i^-| - 1$.

In general, if two affine subspaces $K, L \subset \mathbb{R}^d$ of dimensions k, ℓ , respectively, are in general position, we have $\dim(K \cap L) = \max(-1, k + \ell - d)$, where dimension -1 means empty intersection. Thus, we can move L_2, L_3, \dots, L_r one by one (by moving the points of the R_i^-), inductively achieving $\dim(L_1 \cap \dots \cap L_i) = \max(-1, (\sum_{j=1}^i |R_j^-|) - i - (i - 1)d)$. Since $\sum_{j=1}^r |R_j^-| = N = (r - 1)(d + 1)$, we get $\dim(L_1 \cap \dots \cap L_r) = -1$, which means no affine Tverberg point.

Condition (ii) is achieved with a very similar dimension-counting, which we omit. \square

A remark on degenerate $S_{\mathcal{R}}$'s. The “exceptional” $S_{\mathcal{R}}$'s that are only $(N - 1)$ -dimensional, even for \mathcal{C} in sufficiently general position, are obtained for the \mathcal{R} with the last class R_r of size $d + 2$. Then R_r cannot be affinely independent, and thus (by Lemma 4) the vertex set of $S_{\mathcal{R}}$ is not affinely independent—the point z^* is contained in the affine span of $F_{\mathcal{R}}$. But this does not matter since, for \mathcal{C} in sufficiently general position, the affine span of $F_{\mathcal{R}}$ avoids 0 and thus such an $F_{\mathcal{R}}$ cannot influence the degree. (Or in other words, such a partition \mathcal{R} is never Tverberg for \mathcal{C} in sufficiently general position.)

Continuous motion of \mathcal{C} . Later on, in the continuous motion argument, we will need to consider two BMZ-collections $\mathcal{C}, \mathcal{C}'$ and analyze what happens with the degree when we continuously move the points, starting from \mathcal{C} and ending at \mathcal{C}' .

As we will see, the moving collection can be kept in sufficiently general position all the time except for finitely many *critical times*.

We will also need some control of what happens at the critical times. Let $\mathcal{R} \in \mathbf{R}$ and let $a \in C$, $a \neq z$. We call the set $G := \text{conv}(\Phi(\mathcal{R} - z - a))$ a *ridge* (if $S_{\mathcal{R}}$ is an N -simplex, which is typically the case, then G is a facet of $F_{\mathcal{R}}$ and thus a ridge of $S_{\mathcal{R}}$). We say that \mathcal{C} is in *almost general position* if all ridges avoid 0.

Lemma 6. *Let $\mathcal{C}, \mathcal{C}'$ be BMZ-collections in sufficiently general position. Then there is a continuous family $\mathcal{C}^{(t)}$ of BMZ-collections, $t \in [0, 1]$, such that $\mathcal{C}^{(0)} = \mathcal{C}$, $\mathcal{C}^{(1)} = \mathcal{C}'$, each $\mathcal{C}^{(t)}$ is in almost general position, and there is a finite set $T \subset [0, 1]$ of critical times such that $\mathcal{C}^{(t)}$ is in sufficiently general position for all $t \notin T$.*

Proof. For simplicity, we move one point at a time. It suffices to establish the lemma for $\mathcal{C}, \mathcal{C}'$ such that $c_i = c'_i$ for all $i \neq 1$. Moreover, since all BMZ-collections sufficiently close to \mathcal{C}' are also in a sufficiently general position, it is enough that we can move c_1 to any position c''_1 sufficiently close to c'_1 , in a way satisfying the conclusion of the lemma, since then the motion from c''_1 to c'_1 is for free.

Thus, from now on we assume that c_1 moves to c''_1 along a segment at uniform speed, while all the other points are stationary. Let $c_1^{(t)}$ be the position of the moving point at time t .

First we check that there are only finitely many times where $\mathcal{C}^{(t)}$ is not in sufficiently general position. We need to consider conditions (i)–(iii) from the proof of Lemma 5. For the sake of illustration, we check (iii), leaving the rest to the reader.

Still referring to that proof, we consider the affine subspaces L_1, \dots, L_r (for a particular \mathcal{R} and a). We renumber them so that the moving point is among those defining L_r , so L_1, \dots, L_{r-1} are stationary and $L_r^{(t)}$ is moving. Let $\bar{L}_r := \bigcup_{t \in [0, 1]} L_r^{(t)}$; since $c_1^{(t)}$ traces a segment, \bar{L}_r is contained in the affine span of $L_r \cup \{c''_1\}$, which is an affine subspace of dimension $\dim(L_r) + 1$. By sufficiently general position of \mathcal{C} we know that $\dim(L_1 \cap \dots \cap L_{r-1}) + \dim(L_r) < d$, and thus, by altering the position of c''_1 by an arbitrarily small amount, we can achieve that $L_1 \cap \dots \cap L_{r-1}$ meets \bar{L}_r in at most one point. This adds at most one critical time.

It remains to check that $\mathcal{C}^{(t)}$ is always in almost general position, for which the argument is very similar to the previous one. We want that all ridges avoid 0 all the time. We strengthen the condition to the *affine* span of all ridges avoiding 0, which translates into $\mathcal{R} - z - a$ never having an affine Tverberg point. Thus, we again deal with affine subspaces L_1, \dots, L_r ; we again assume that L_1, \dots, L_{r-1} are stationary and $L_r^{(t)}$ is moving, and \bar{L}_r be the set traced by $L_r^{(t)}$ during the motion, contained in an affine subspace of dimension $\dim(L_r) + 1$. However, compared to the previous argument, now the sum of the dimensions of the L_i is one smaller, and this allows us to achieve $L_1 \cap \dots \cap L_{r-1} \cap \bar{L}_r = \emptyset$, again by changing the position of c''_1 by an arbitrarily small amount. \square

Sufficiently general position may be assumed. We will prove Theorem 2 with the additional assumption that \mathcal{C} is in sufficiently general position. By Lemma 5, each BMZ-collection can be approximated by such BMZ-collections arbitrarily closely, and thus the validity of Theorem 2 for an arbitrary \mathcal{C} follows by a routine limiting argument, which we omit (see, for example, [Tve66, Lemma 2] for a very similar one).

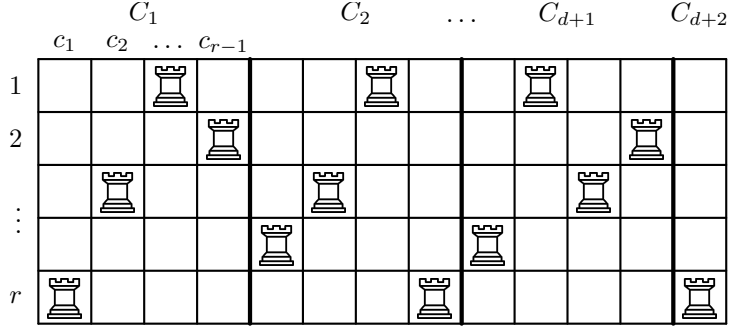


Figure 6: The combinatorial type of a rainbow r -partition represented by a non-attacking placement of rooks on chessboards.

5 The degree

For every $(N-1)$ -dimensional simplex $F_{\mathcal{R}}$, we now define a *sign* $\text{sgn}(F_{\mathcal{R}})$ (often we also write just $\text{sgn}(\mathcal{R})$, since $F_{\mathcal{R}}$ is fully determined by \mathcal{R}). In the language introduced in the proof outline, the sign $+1$ means that the side of $F_{\mathcal{R}}$ visible from 0 is negative, and -1 means that it is positive.

The sign is the product of two factors, which we call the *geometric sign* $\text{gsgn}(\mathcal{R})$ and the *combinatorial sign* $\text{csgn}(\mathcal{R})$.

The geometric sign is easy to define. We set up the $N \times N$ matrix M with the coordinates of the i th vertex of $F_{\mathcal{R}}$ (we recall that the points in the ground set C are numbered as c_1, \dots, c_{N+1} , which induces a linear ordering of the vertices of $F_{\mathcal{R}}$), and we put

$$\text{gsgn}(\mathcal{R}) := \text{sgn} \det(M).$$

The combinatorial sign is slightly more complicated. We recall that the combinatorial type of \mathcal{R} is the set $\{(i, j) : c_j \in R_i\} \subseteq [r] \times [N+1]$. It can be depicted using an $r \times (N+1)$ array of squares, whose i th row corresponds to the sets R_i of \mathcal{R} and whose j th column corresponds to the j th point of C ; see Fig. 6. Then we place a rook (chess figure) to each square (i, j) with $c_j \in R_i$.

Let us think of the array as $d+1$ chessboards, each with r rows and $r-1$ columns, placed side by side, plus one “degenerate” $r \times 1$ chessboard on the right. Then the maximal rainbow r -partitions exactly correspond to maximal placements of mutually non-attacking rooks on each of the chessboards (in particular, each of the $r \times (r-1)$ chessboards has $r-1$ rooks on it). The condition that $z \in R_r$ then says that the last narrow chessboard should have the rook in the last row.

The vertices of $F_{\mathcal{R}}$ correspond to the rooks in the first $d+1$ chessboards. The placement of the $r-1$ rooks on the k th chessboard defines a permutation π_k of $[r]$; namely, for $j \leq r-1$, $\pi_k(j)$ is the index of the row containing the rook of the j th column, and $\pi_k(r)$ is the index of the unique row with no rook.

The combinatorial sign of \mathcal{R} is defined as

$$\text{csgn}(\mathcal{R}) := \prod_{k=1}^{d+1} \text{sgn} \pi_k.$$

The degree. As in the outline, we define

$$\Sigma = \Sigma(\mathcal{C}) := \bigcup_{\mathcal{R} \in \mathbf{R}} F_{\mathcal{R}},$$

and the degree of Σ is

$$\deg(\Sigma) := \sum_{\mathcal{R} \in \mathbf{R}: \rho \cap F_{\mathcal{R}} \neq \emptyset} \text{sgn}(F_{\mathcal{R}}),$$

where $\text{sgn}(F_{\mathcal{R}}) = \text{sgn}(\mathcal{R}) = \text{gsgn}(\mathcal{R}) \text{csgn}(\mathcal{R})$. In other words, the degree is the sum of $\text{sgn}(F_{\mathcal{R}})$ over all $\mathcal{R} \in \mathbf{R}$ that are Tverberg. Since Σ is determined by \mathcal{C} , we will also write $\deg(\mathcal{C})$ instead of $\deg(\Sigma)$.

6 The continuous motion argument

Here we prove the promised invariance of the degree modulo $r!$.

Proposition 7. *If \mathcal{C} and \mathcal{C}' are two BMZ-collections (for the same d and r), both in sufficiently general position, then*

$$\deg(\mathcal{C}) \equiv \deg(\mathcal{C}') \pmod{r!}.$$

First we want to verify that the simplices $F_{\mathcal{R}}$ are “glued together” properly. Let us call the $(N-2)$ -dimensional faces of $F_{\mathcal{R}}$ the *ridges*.

Lemma 8. *Let G be a ridge of some $F_{\mathcal{R}}$. Then there is exactly one $\mathcal{R}' \in \mathbf{R}$ distinct from \mathcal{R} having G as a ridge, and we have $\text{csgn}(\mathcal{R}') = -\text{csgn}(\mathcal{R})$. (In topological terminology, this is the “orientable pseudomanifold” property.)*

Proof. This is easy to see using the rook interpretation. The simplex $F_{\mathcal{R}}$ corresponds to a maximal placement of rooks on the first $d+1$ chessboards, and G is obtained by removing one of the rooks, say from the k th chessboard. Now the k th chessboard has one empty column and two empty rows, so there are two possibilities of putting the rook back—one corresponding to $F_{\mathcal{R}}$, and the other to $F_{\mathcal{R}'}$.

The permutation π_k for \mathcal{R} and the one for \mathcal{R}' differ by a single transposition, and so $\text{csgn}(\mathcal{R}) = -\text{csgn}(\mathcal{R}')$ as claimed. \square

Next, we want to see that the degree of Σ can be computed with respect to an arbitrary (generic) ray. Let \mathcal{C} be a BMZ-collection, exceptionally assumed to be only in almost general position (which, as we recall, means that all the ridges of the $F_{\mathcal{R}}$ ’s avoid the origin).

Let ψ be a ray in \mathcal{R}^N emanating from 0. We call ψ *generic* for \mathcal{C} if it does not intersect any ridge. It follows that if such a generic ψ intersects some $F_{\mathcal{R}}$, then $F_{\mathcal{R}}$ must be an $(N-1)$ -dimensional simplex and ψ intersects it in a single point lying in the relative interior of $F_{\mathcal{R}}$.

Clearly, almost all rays (in the sense of measure) are generic. Moreover, if ψ is generic for some \mathcal{C} , then it is also generic for all \mathcal{C}' sufficiently close to \mathcal{C} ; this will be useful later on.

Given a generic ray ψ , we define $\deg_{\psi}(\mathcal{C})$ in the same way as we defined $\deg(\mathcal{C})$ using ρ ; that is, as $\sum_{\mathcal{R} \in \mathbf{R}: \psi \cap F_{\mathcal{R}} \neq \emptyset} \text{sgn}(\mathcal{R})$.

Lemma 9. *Let \mathcal{C} be a BMZ-collection in sufficiently general position. If ψ and ν are generic rays for \mathcal{C} , then $\deg_{\psi}(\mathcal{C}) = \deg_{\nu}(\mathcal{C})$.*

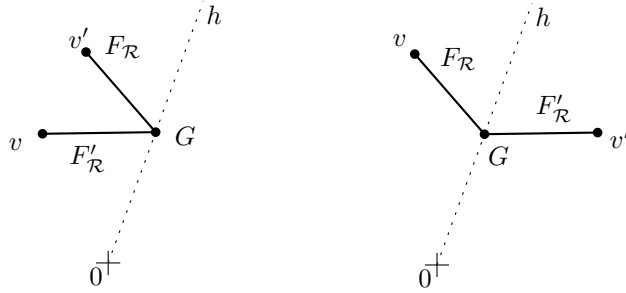


Figure 7: The moving ray crossing a ridge.

Proof. We can continuously move ψ to ν so that it remains generic all the time, except for finitely many moments where it intersects some ridge (or perhaps several ridges) at an interior point. So it suffices to check that the degree cannot change by crossing a ridge G .

As we know from Lemma 8, the ridge G is shared by exactly two facets $F_{\mathcal{R}}$ and $F_{\mathcal{R}'}$, with $\text{csgn}(\mathcal{R}) = -\text{csgn}(\mathcal{R}')$. Let v be the vertex of $F_{\mathcal{R}}$ not in G , and similarly for v' and $F_{\mathcal{R}'}$. As we saw in the proof of Lemma 8, v and v' are two different clones of the same point $c_j \in C$.

Let h be the hyperplane spanned by G and 0 . First let us suppose that both v and v' are at the same side of h (Fig. 7 left). Then the moving ray intersects both of $F_{\mathcal{R}}, F_{\mathcal{R}'}$ before crossing G and none of them after the crossing, or the other way around.

Let M and M' be the matrices used in the definition of the geometric signs of $F_{\mathcal{R}}$ and $F_{\mathcal{R}'}$, respectively. They differ in a single row, which is v in M and v' in M' (the row is in the same position since v and v' are both clones of c_j). Since v and v' are on the same side of h , we have $\text{sgn}(\det M) = \text{sgn}(\det M')$, and thus $F_{\mathcal{R}}$ and $F_{\mathcal{R}'}$ have the same geometric signs.

Altogether we get $\text{sgn}(\mathcal{R}) = -\text{sgn}(\mathcal{R}')$, and thus when the ray intersects both of $F_{\mathcal{R}}, F_{\mathcal{R}'}$, their contributions to the degree cancel out. By a similar argument, which we omit, one gets that in the other case, as in Fig. 7 right, $\text{sgn}(\mathcal{R}) = \text{sgn}(\mathcal{R}')$, and so in both cases the degree remains constant. \square

Let $\mathcal{R} \in \mathbf{R}$ be a rainbow r -partition of a BMZ-collection \mathcal{C} (in sufficiently general position). For a permutation π of $[r]$, let \mathcal{R}^π be the rainbow r -partition obtained by permuting the classes of \mathcal{R} according to π and moving z back to the last class:

$$\mathcal{R}^\pi := (R_{\pi(1)} \setminus \{z\}, R_{\pi(2)} \setminus \{z\}, \dots, R_{\pi(r-1)} \setminus \{z\}, R_{\pi(r)} \cup \{z\}).$$

We need to understand how the combinatorial and geometric signs of \mathcal{R}^π are related to those of \mathcal{R} .

Lemma 10. *For \mathcal{R} and \mathcal{R}^π as above, we have*

$$\text{csgn}(\mathcal{R}^\pi) = \text{sgn}(\pi)^{d+1} \text{csgn}(\mathcal{R}), \quad \text{gsgn}(\mathcal{R}^\pi) = \text{sgn}(\pi)^{d+1} \text{gsgn}(\mathcal{R}).$$

Proof. In the representation of \mathcal{R} with rooks, passing to \mathcal{R}^π means that we permute the rows of the first $d+1$ chessboards. From this we immediately get the first relation, $\text{csgn}(\mathcal{R}^\pi) = \text{sgn}(\pi)^{d+1} \text{csgn}(\mathcal{R})$.

For the geometric sign, it suffices to consider the case where π is a transposition exchanging two indices i, j and show that the geometric sign changes by the factor of $(-1)^{d+1}$ (an arbitrary π can be expressed as a composition of such transpositions).

The effect of such a transposition on the vertex set of $F_{\mathcal{R}}$ is that the i th clones of the points of R_i are replaced with the j th clones, and the reverse happens for the points of R_j (ignoring z).

Let M be the matrix as in the definition of $\text{sgn}(\mathcal{R})$, and let M^π be the one for $\text{sgn}(\mathcal{R}^\pi)$. Thus, a row of the form $x^+ \otimes w_i$ in M is replaced by $x^+ \otimes w_j$ in M^π . Similarly, $x^+ \otimes w_j$ is replaced by $x^+ \otimes w_i$, and all other rows remain unchanged.

Now we use the choice of the vectors w_1, \dots, w_r . They form the vertex set of a regular simplex, and so there is a linear map $f: \mathbb{R}^{r-1} \rightarrow \mathbb{R}^{r-1}$ that interchanges w_i with w_j and leaves all the other w_k fixed (namely, f is a suitable mirror reflection).

Let A be the matrix of f with respect to the standard basis of \mathbb{R}^{r-1} . Then we can write $M^\pi = BM$, where B is the block-diagonal matrix with $d+1$ blocks A on the diagonal. Thus, $\det(M^\pi) = \det(A)^{d+1} \det(M)$, and since f is a mirror reflection, and thus orientation-reversing, we have $\text{sgn}(\det A) = -1$. So the geometric sign changes by $(-1)^{d+1}$ as claimed. \square

Proof of Proposition 7. The main trick in the proof is to alternate moving the ray and the points, thereby avoiding “too degenerate” situations.

Using Lemma 6, we may assume that \mathcal{C} and \mathcal{C}' are connected by a continuous family $\mathcal{C}^{(t)}$. Each $\mathcal{C}^{(t)}$ is in almost general position, and it is in sufficiently general position except for finitely many critical times.

For every $t \in [0, 1]$, including critical ones, we can choose a generic ray for $\mathcal{C}^{(t)}$, which also remains generic for all $\mathcal{C}^{(t')}$ with t' in some open interval around t . By compactness, the interval $[0, 1]$ can be covered by finitely many of these open intervals I_1, \dots, I_m , each of them corresponding to some generic ray ψ_i .

By Lemma 9, on the overlapping part $I_i \cap I_j$ we can “measure” the degree using either ψ_i or ψ_j with the same result. Therefore, it suffices to show that if $I \subseteq [0, 1]$ is an interval such that ψ is a generic ray for all $\mathcal{C}^{(t)}$ with $t \in I$, then $\deg_\psi(\mathcal{C}^{(t)})$ may change only by multiples of $r!$.

The degree may change only at critical values of t ; let $t_0 \in I$ be one of the critical values. Let us see how the contribution of some $F_{\mathcal{R}}$ to $\deg_\psi(\mathcal{C}^{(t)})$ may change at t_0 . (More formally, we should write $F_{\mathcal{R}^{(t)}}$ instead of $F_{\mathcal{R}}$, where $\mathcal{R}^{(t)}$ is a rainbow r -partition of $\mathcal{C}^{(t)}$ whose combinatorial type does not depend on t . But we drop the superscript, keeping the dependence on t implicit.)

A necessary condition for the change is that $F_{\mathcal{R}}$ intersects ψ just before or just after t_0 . If it intersects ψ *both* just before and just after t_0 , then, using the genericity of ψ , one can see that the geometric sign of $F_{\mathcal{R}}$ does not change, and so its contribution to the degree does not change either. Thus, the only possibility is that $F_{\mathcal{R}}$ intersects ψ just before t_0 and does not intersect it just after, or the other way round.

By symmetry, it suffices to consider only the first case. Let us also assume that $\text{sgn}(\mathcal{R}) = +1$ for $t < t_0$ (in some small open interval ending in t_0 , that is). Then, since $F_{\mathcal{R}}$ stopped intersecting ψ at t_0 , it must have passed 0, and therefore, its geometric sign changed. Thus, $\text{sgn}(\mathcal{R}) = -1$ for $t > t_0$, and the contribution of $F_{\mathcal{R}}$ to $\deg(\mathcal{C}^{(t)})$ has decreased by 1 at t_0 .

Now we consider a permutation π of $[r]$ and the rainbow r -partition \mathcal{R}^π , again depending on t . By Lemma 10, we have $\text{sgn}(\mathcal{R}^\pi) = \text{sgn}(\mathcal{R})$ all the time, so $\text{sgn}(\mathcal{R}^\pi)$ also changes from $+1$ to -1 at t_0 . Since the geometric sign of $F_{\mathcal{R}^\pi}$ changes at t_0 (again by Lemma 10), it means that $F_{\mathcal{R}^\pi}$ passed through 0 at t_0 . So either it intersected ψ just before t_0 and it does not intersect it just after, or vice versa. In both cases, the contribution of $F_{\mathcal{R}^\pi}$ to $\deg(\mathcal{C}^{(t)})$ has also decreased by 1 at t_0 .

Since there are $r!$ choices for π , it follows that the degree may change only by multiples of $r!$ as claimed. The proposition is proved. \square

7 Computing the degree of a special BMZ-collection

Here is the last step in the proof of Theorem 2.

Lemma 11. *There is a BMZ-collection \mathcal{C}_0 in sufficiently general position such that*

$$|\deg \mathcal{C}_0| = ((r-1)!)^{d+1}.$$

Proof. The first $d+1$ color classes of \mathcal{C}_0 are small clusters around the vertices of a regular d -dimensional simplex, as in Fig. 2, and the single point z of the last class is placed to the center of gravity of that simplex.

It is easy to see (and well known) that the Tverberg rainbow r -partitions \mathcal{R} of \mathcal{C}_0 with $\mathcal{R} \in \mathbf{R}$ have $R_r = \{z\}$, and the other R_i each use exactly one point of each C_j , $j = 1, 2, \dots, d+1$. In the rook interpretation, they correspond to rook placements where the r th row contains only the single rook in the last column, and from this one immediately gets that their number is $((r-1)!)^{d+1}$.

It remains to see that all of these Tverberg \mathcal{R} 's have the same sign. It suffices to consider the effect of a local change, where we swap two adjacent rows in one of the first $d+1$ chessboards (which corresponds to moving some $c_j \in C_k$ from R_i to R_{i+1} and some $c_{j'} \in C_k$ from R_{i+1} to R_i , $i+1 \leq r-1$). This obviously changes the combinatorial sign.

It remains to show that the geometric sign is also changed by the swap. Let \mathcal{R} be the Tverberg r -partition before the swap and $\mathcal{R}^{\leftrightarrow}$ the one after the swap, and let M and M^{\leftrightarrow} be the corresponding matrices for $F_{\mathcal{R}}$ and $F_{\mathcal{R}^{\leftrightarrow}}$, as in the definition of the geometric sign. Thus, the j th row is $\varphi_i(c_j)$ in M and $\varphi_{i+1}(c_j)$ in M^{\leftrightarrow} , and the j' th row is $\varphi_{i+1}(c_{j'})$ in M and $\varphi_i(c_{j'})$ in M^{\leftrightarrow} .

Let M' denote the matrix obtained from M^{\leftrightarrow} by interchanging the j th row with the j' th row. We have $\det(M') = -\det(M^{\leftrightarrow})$, and we want to check that $\text{sgn } \det(M') = \text{sgn } \det(M)$.

We can regard M' as the matrix of vertex coordinates for the $(N-1)$ -dimensional simplex $F_{\mathcal{R}}$ for a *different* BMZ-collection \mathcal{C}'_0 , namely, the one obtained from \mathcal{C}_0 by interchanging c_j with $c_{j'}$. We prove a more general statement: whenever \mathcal{C}'_0 is a BMZ-collection obtained from \mathcal{C}_0 by moving each of the points c_j within its cluster arbitrarily (and keeping z fixed), then $\text{sgn } \det(M') = \text{sgn } \det(M)$.

It suffices to check that during a continuous motion of some c_j within its cluster, $\text{sgn } \det(M)$ remains constant. This sign may change only when the simplex $F_{\mathcal{R}}$ becomes degenerate, or when the hyperplane spanned by $F_{\mathcal{R}}$ passes through 0.

These two conditions translate, according to Lemma 4, to the following: during the continuous motion, the points of each class R_i , $i < r$, remain affinely independent, and the r -partition $\mathcal{R} - z$ never has either an affine Tverberg point or a Tverberg direction. The former holds because each R_i has one point in each cluster. The latter holds trivially since the r th class of $\mathcal{R} - z$ is empty. This concludes the proof of Lemma 11. \square

Now we have completed all steps from the proof outline, and thus Theorem 2 is proved.

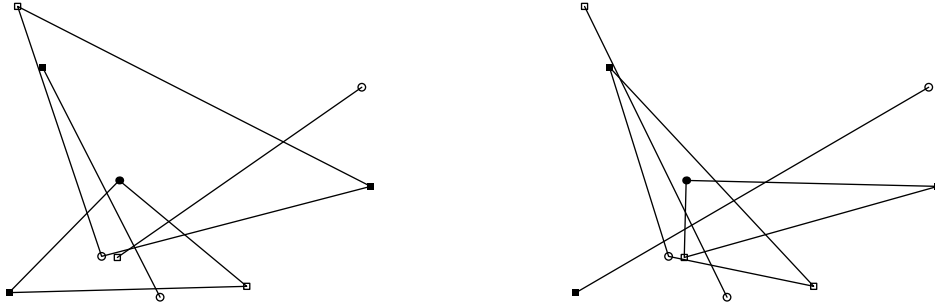


Figure 8: A BMZ-collection with $r = 4$ and $d = 2$ of degree zero with only two different Tverberg partitions (more precisely with only two equivalence classes of \sim).

8 Conclusion

Configurations with degree 0. Suppose that there is an integer r for which exists a BMZ-collection without a Tverberg point. Then the degree of this collection has to be 0, and thus r cannot be a prime number.

We performed computational experiments in the case $r = 4$, with $d = 2, 3$. We generated BMZ-collections at random inside the unit square (or cube). We frequently obtained collections with degree 0; however, all of them had a Tverberg point. See Fig. 8 for a configuration with degree zero and few Tverberg partitions. We also obtained a collection of degree 0 for $r = 6$ and $d = 2$. In this case the computation was already quite time consuming (with our algorithm), and thus we performed only a small number of experiments.

We believe that BMZ-collections of degree 0 exist for all non-prime r and in all dimensions, but unfortunately, we do not have a proof for this.

A natural idea for such a proof is to start with two BMZ-collections \mathcal{C}_1 and \mathcal{C}_2 , one of a positive degree and one of a negative degree, and then transform \mathcal{C}_1 to \mathcal{C}_2 by a (generic) continuous motion. If we knew that the degree may jump only by $\pm r!$ during the motion, we would reach degree 0 at some moment (since the degree is always congruent to $((r-1)!)^d$ modulo $r!$, as we know, and $((r-1)!)^d$ is divisible by $r!$ for $d \geq 2$ and non-prime r). However, it turns out that even during a generic motion, there may be jumps by larger multiples of $r!$, and so a subtler argument is needed.

A direct definition of sign? A natural question is, whether one can define the sign of a rainbow partition directly, without going through the Sarkaria–Onn transform. However, it seems that if there is such a direct definition (only referring to the mutual position of the points of the rainbow partition) at all, it has to be rather complicated. We will illustrate this with an example concerning the simplest nontrivial case, with $d = 2$ and $r = 3$.

Thus, we consider points c_1, c_2, \dots, c_6, z in the plane, and the following BMZ-collection: $\mathcal{C}_1 = \{c_1, c_2\}$, $\mathcal{C}_2 = \{c_3, c_4\}$, $\mathcal{C}_3 = \{c_5, c_6\}$, $\mathcal{C}_4 = \{z\}$. We consider several rainbow partitions $\mathcal{R} \in \mathbf{R}$ and the dependence of $\text{sgn}(\mathcal{R})$ on the positions of the c_i . From the definition of the sign we get that $\text{sgn}(\mathcal{R}) = 0$ iff at least one of the conditions of Lemma 4 holds. Hence it is plausible to assume that the sign changes when the BMZ-collection moves over a position where $\mathcal{R} - z$ has an affine Tverberg point, or if one of the partition sets of $\mathcal{R} - z$ is affinely dependent, or, finally, if $\mathcal{R} - z$ has a Tverberg direction. However, the movement must

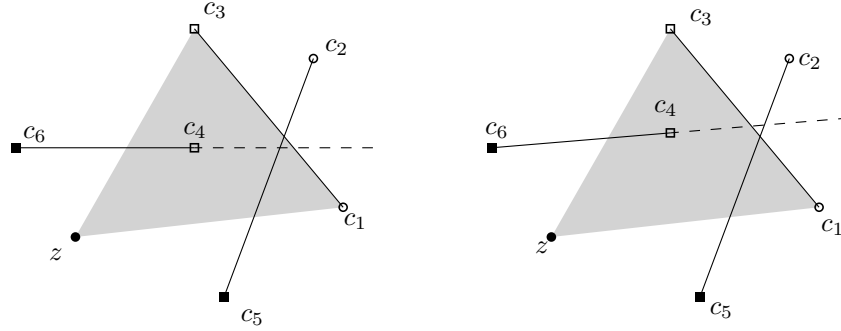


Figure 9: The degree of this partition changes when the three lines pass through a common point.

be sufficiently generic, otherwise the collection could only “reflect” and the sign would not change. We did not attempt to describe such a generic movement precisely since we are not aware of convincing consequences⁴ (except for the discussion in this section).

First we set $R_1 := \{c_1, c_3, c_5\}$, $R_2 := \{c_2, c_4, c_6\}$, and $R_3 := \{z\}$. In this case $\text{sgn } \mathcal{R} = 0$ iff at least one of the triangles $c_1c_3c_5$ or $c_2c_4c_6$ is degenerate. Thus a reasonable guess is that the sign depends only on the cyclic orientations of the triangles $c_1c_3c_5$ and $c_2c_4c_6$.⁵

For $R_1 := \{c_1, c_3, c_6\}$, $R_2 := \{c_2, c_4\}$, $R_3 := \{c_5, z\}$, the situation is similar. The sign depends only on the cyclic orientation of the triangles $c_1c_3c_6$ and $c_2c_4c_5$.

Finally, let $R_1 := \{c_2, c_5\}$, $R_2 := \{c_4, c_6\}$, $R_3 := \{c_1, c_3, z\}$. Then the sign depends on the orientation of the lines c_2c_5 , c_4c_6 and c_1c_3 . However, it also depends on the mutual position of these lines, and it changes when all three of them pass through a common point. See Figure 9.

Unfortunately, we are not aware of a simple uniform description of the three cases above.

Acknowledgement

We would like to thank Marek Krčál for useful discussions at initial stages of this research. We also thank Günter M. Ziegler for valuable comments, and Peter Landweber and two anonymous referees for detailed comments and corrections that greatly helped to improve the presentation. In particular, we are indebted to one of the referees for pointing out to us the reference [Živ95].

References

- [BB79] E. G. Bajmóczy and I. Bárány. A common generalization of Borsuk’s and Radon’s theorem. *Acta Math. Hungarica*, 34:347–350, 1979.
- [BFL90] I. Bárány, Z. Füredi, and L. Lovász. On the number of halving planes. *Combinatorica*, 10(2):175–183, 1990.

⁴If there were a direct definition of sign using this property then it would be surely of our interest.

⁵As we pointed out above, we do not have a precise proof. However, our observation is also supported by a computer program for computing the sign on many examples. A similar remark also applies for other choices of \mathcal{R} .

- [BL92] I. Bárány and D. G. Larman. A colored version of Tverberg’s theorem. *J. London Math. Soc. (2)*, 45(2):314–320, 1992.
- [BLVŽ94] A. Björner, L. Lovász, S. T. Vrećica, and R. T. Živaljević. Chessboard complexes and matching complexes. *J. London Math. Soc. (2)*, 49(1):25–39, 1994.
- [BMZ09] P. V. M. Blagojević, B. Matschke, and G. M. Ziegler. Optimal bounds for the colored Tverberg problem. Preprint; <http://arxiv.org/abs/0910.4987>, 2009.
- [BMZ11] P. V. M. Blagojević, B. Matschke, and G. M. Ziegler. Optimal bounds for a colorful Tverberg–Vrećica type problem. *Adv. Math.*, 226:5198–5215, 2011.
- [BSS81] I. Bárány, S. B. Shlosman, and A. Szűcs. On a topological generalization of a theorem of Tverberg. *J. London Math. Soc., II. Ser.*, 23:158–164, 1981.
- [Grü03] Branko Grünbaum. *Convex polytopes*, volume 221 of *Graduate Texts in Mathematics*. Springer-Verlag, New York, second edition, 2003.
- [Kal95] Gil Kalai. Combinatorics and convexity. In *Proceedings of the International Congress of Mathematicians, Vol. 1, 2 (Zürich, 1994)*, pages 1363–1374, Basel, 1995. Birkhäuser.
- [Mat96] J. Matoušek. Note on the colored Tverberg theorem. *J. Combin. Theory Ser. B*, 66(1):146–151, 1996.
- [Mat02] J. Matoušek. *Lectures on Discrete Geometry*. Springer, New York, 2002.
- [Mat04] J. Matoušek. A combinatorial proof of Kneser’s conjecture. *Combinatorica*, 24(1):163–170, 2004.
- [Sar91] K. S. Sarkaria. A generalized van Kampen–Flores theorem. *Proc. Amer. Math. Soc.*, 111:559–565, 1991.
- [Sar92] K. S. Sarkaria. Tverberg’s theorem via number fields. *Israel J. Math.*, 79:317–320, 1992.
- [Tve66] H. Tverberg. A generalization of Radon’s theorem. *J. London Math. Soc.*, 41:123–128, 1966.
- [Tve81] H. Tverberg. A generalization of Radon’s theorem. II. *Bull. Aust. Math. Soc.*, 24:321–325, 1981.
- [VŽ09] S. T. Vrećica and R. T. Živaljević. Chessboard complexes indomitable. Preprint; <http://arxiv.org/abs/0911.3512>, 2009.
- [Zie02] G. M. Ziegler. Generalized Kneser coloring theorems with combinatorial proofs. *Invent. Math.*, 147:671–691, 2002. Erratum *ibid.*, 163:227–228, 2006.
- [Živ95] Rade T. Živaljević. In pursuit of colored Carathéodory–Bárány theorems. *Publ. Inst. Math. (Beograd) (N.S.)*, 57(71):91–100, 1995.
- [Živ98] R. T. Živaljević. User’s guide to equivariant methods in combinatorics. II. *Publ. Inst. Math. (Beograd) (N.S.)*, 64(78):107–132, 1998.

- [ŽV92] R. T. Živaljević and S. T. Vrećica. The colored Tverberg's problem and complexes of injective functions. *J. Combin. Theory Ser. A*, 61(2):309–318, 1992.